

Board of Governors of the Federal Reserve System



## Annual Report of Holding Companies—FR Y-6

### Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

**NOTE:** The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, **RICKY RAY**

Name of the Holding Company Director and Official

**PRESIDENT & CEO**

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

Signature of Holding Company Director and Official

05/16/2018

Date of Signature

For holding companies not registered with the SEC—

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report  
 will be sent under separate cover  
 is not prepared

### For Federal Reserve Bank Use Only

RSSD ID \_\_\_\_\_  
C.I. \_\_\_\_\_

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

**Date of Report** (top-tier holding company's fiscal year-end):

**December 31, 2017**

Month / Day / Year

**LEI = N/A**

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

### FIRST BOAZ BANCORPORATION

Legal Title of Holding Company

**P.O. BOX 757**

(Mailing Address of the Holding Company) Street / P.O. Box

**BOAZ** **AL** **35957**

City State Zip Code

**124 S. MAIN STREET**

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

**LEIGH JOHNSON** **AVP**

Name Title

**256-593-8670**

Area Code / Phone Number / Extension

**256-593-6488**

Area Code / FAX Number

**[ljohnson@firstbankofboaz.com](mailto:ljohnson@firstbankofboaz.com)**

E-mail Address

**[firstbankofboaz.com](http://firstbankofboaz.com)** (bank's website, none for holding co.)

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission? .....

0=No  
 1=Yes **0**

In accordance with the General Instructions for this report (check only one),

1. a letter justifying this request is being provided along with the report .....

2. a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."



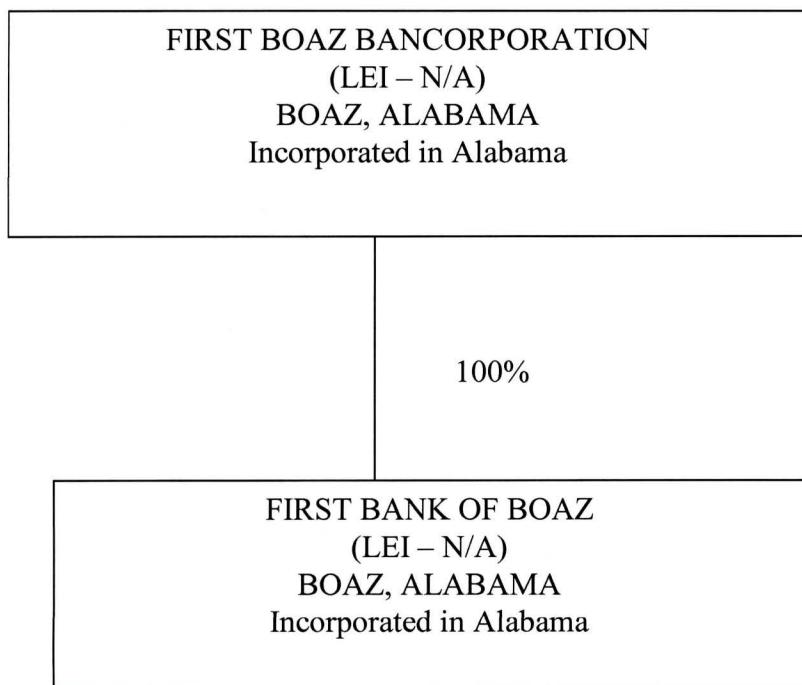
# First Bank of Boaz

POST OFFICE BOX 757 • BOAZ, ALABAMA 35957-0757 • TELEPHONE 256/593-8670

## FORM FR Y-6 FOR FISCAL YEAR ENDING DECEMBER 31, 2017

REPORT ITEM 1: First Bank of Boaz is not required to register with the Security Exchange Commission. The bank holding company prepares an annual report for its shareholders. The annual report is not completed but a copy will be forwarded to you upon approval.

### REPORT ITEM 2:



The holding company does not have any companies that are reportable on the FR Y-10 and thus reportable on the FR Y-6.

The holding company does not have any additional companies reportable on the FR Y-6.

The holding company does not have any companies that are not reportable on the FR Y-6 organizational chart.



# First Bank of Boaz

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## FORM FR Y-6 FOR FISCAL YEAR ENDING DECEMBER 31, 2017

### REPORT ITEM 2b:

First Bank of Boaz  
124 S. Main Street  
Boaz, AL 35957  
Marshall County  
United States  
Full Service Bank

### REPORT ITEM 3:

Gary D. Kilpatrick Boaz, Alabama 35956 United States	U.S. Citizen 243,034 Shares	29.56%
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Horace E. Kilpatrick Auburn, Alabama 36830 United States	U.S. Citizen 241,200 Shares	29.34%
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Gerald D. Martin Boaz, AL 35957 United States	U.S. Citizen 63,050 Shares	7.67%
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Joseph Paul Abercrombie Boaz, AL 35957 United States	U.S. Citizen 50,500 Shares	6.14%
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### REPORT ITEM 3-2:

None



# First Bank of Boaz

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## Report Item 4: Directors and Officers 2017

### Voting shares

Cary Dale Johnson Boaz, AL 35956 United States	Chairman of the Board - First Boaz Bancorporation Director - First Bank of Boaz President - Boaz Discount Drugs 60% President - Johnson's Hallmark 100% Secretary - Mediage Pharmaceuticals, Inc. 33 1/3% Owner - Johnson Real Estate Investments, LLC 100% Owner - Age, LLC 100% Limited Partner - Paint Rock, LLC 23% Primary Occupation - Boaz Discount Drugs	2.45% (holding co.) N/A (bank)
Joseph Paul Abercrombie Boaz, AL 35957 United States	Director - First Boaz Bancorporation Director - First Bank of Boaz President - Abbie Auto Parts 100% President - South Point Systems 100% Treasurer/Board Member - Associate Jobbers Warehouse 5% Primary Occupation - South Point Systems	6.14% (holding co.) N/A (bank)
Leon J. Alexander, Jr. Boaz, AL 35957 United States	Director - First Boaz Bancorporation Director - First Bank of Boaz President - Alexander Dodge 51% President - Alexander Ford 51% President - Alexander Rentals 50% Secretary - Tackle Trap 50% Managing Member - Alexander Family Investment 50% President - Chevrolet of Boaz 100% President - Kelley Auto Auction LLC 51% Primary Occupation - Alexander Ford	2.80% (holding co.) N/A (bank)
Evan Johnson Boaz, AL 35956 United States	Director - First Boaz Bancorporation Director - First Bank of Boaz President/Owner - Family Medicine of Sardis 100% Board of Directors - Boaz Discount Drugs 1% Owner - Johnson Properties 100% Primary Occupation - Family Medicine of Sardis	0.24% (holding co.) N/A (bank)
Gary Kilpatrick Boaz, AL 35956 United States	Director - First Boaz Bancorporation Director - First Bank of Boaz Owner - Gary's Signs 100% President - Kilpatrick Chevrolet 100% Co-Owner - M & K Signs 50% President - K Properties LLC 100% Primary Occupation - Kilpatrick Chevrolet	29.56% (holding co.) N/A (bank)



# First Bank of Boaz

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## Report Item 4 Continued: Directors and Officers 2017

### Voting Shares

Horace Kilpatrick Auburn, AL 36830 United States	Director - First Boaz Bancorporation Director - First Bank of Boaz Retired/No other business affiliation Primary Occupation – Retired No other ownerships No other titles or positions with other companies	29.34% (holding co.) N/A (bank)
Gerald Martin Boaz, AL 35957 United States	Director - First Boaz Bancorporation Director - First Bank of Boaz Partner - M & K Signs – 50% Primary Occupation – Retired No other ownerships No other titles or positions with other companies	7.67% (holding co.) N/A (bank)
Ricky Ray Boaz, AL 35956 United States	Director - First Boaz Bancorporation President, Director, C.E.O. - First Bank of Boaz No other business affiliation Primary Occupation - First Bank of Boaz No other ownerships No other titles or positions with other companies	1.31% (holding co.) N/A (bank)
Craig Rigsby Boaz, AL 35956 United States	Director – First Boaz Bancorporation Director – First Bank of Boaz No other business affiliation Primary Occupation – Boaz Gas Board Manager No other ownerships No other titles or positions with other companies	0.15% (holding co.) N/A (bank)