## **Board of Governors of the Federal Reserve System**



# Annual Report of Holding Companies—FR Y-6

### Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

to, an inform OMB control

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the

I, DONG WOOK KIM

Name of the Holding Company Director and Official

authorized individual who must sign the report.

#### PRESIDENT&CEO

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report congerning that individual.

details in the report congerning that individual.
Cyloule
Signature of Holding Company Director and Official
06/28/2019
Date of Signature
For holding companies <u>not</u> registered with the SEC– Indicate status of Annual Report to Shareholders:
is included with the FR Y-6 report
will be sent under separate cover
is not prepared
For Federal Reserve Bank Use Only
RSSD ID

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

OMB control number. Date of Report (top-tier holding company's fiscal year-end): **December 31, 2018** Month / Day / Year 5493004LYI6NSVMGYH15 Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code) Reporter's Name, Street, and Mailing Address FIRST IC CORPORATION Legal Title of Holding Company 5593 BUFORD HWY (Mailing Address of the Holding Company) Street / P.O. Box DORAVILLE GA 30340 Zip Code Physical Location (if different from mailing address) Person to whom guestions about this report should be directed: DONG WON SHIN SVP/CONTROLLER 770-407-1455 Area Code / Phone Number / Extension 678-281-1303 Area Code / FAX Number sdw@firsticbank.com E-mail Address N/A Address (URL) for the Holding Company's web page Is confidential treatment requested for any portion of this report submission?..... 0 In accordance with the General Instructions for this report (check only one), 1. a letter justifying this request is being provided along with the report .....

2. a letter justifying this request has been provided separately ... UNOTE: Information for which confidential treatment is being requested

must be provided separately and labeled

as "confidential."

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

# First IC Corporation Doraville, GA Fiscal Year Ending December 31, 2018

## Report Item:

- 1 The BHC prepares an annual report for its shareholders and is not registered with the SEC. The annual report is to be submitted later.
- 2a Organizational Chart

First IC Corporation
(LEI: 5493004LYI6NSVMGYH15)
Doraville, GA
Incorporated in the State of Georgia

100 %

First IC Bank
(LEI: NONE)
Doraville, GA
Incorporated in the State of Georgia

- 2b Office/Branch Verification Attached and also submitted electronically on 2/28/2019.
- 3 Securities Holders attached
- 4 Insiders attached

#### Results: A list of branches for your holding company: FIRST IC CORPORATION (3589980) of DORAVILLE, GA.

The data are as of 12/31/2018. Data reflects information that was received and processed through 01/06/2019.

#### **Reconciliation and Verification Steps**

- 1. In the **Data Action** column of each branch row, enter one or more of the actions specified below
- 2. If required, enter the date in the Effective Date column

#### Actions

OK: If the branch information is correct, enter 'OK' in the Data Action column.

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.

Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.

Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

#### **Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.

If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

#### Note:

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.

The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.federalreserve.gov.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data	Effective	Branch	Branch					Zip			FDIC	Office		Head Office	
Action	Date	Service Type	ID_RSSD*	Popular Name	Street Address	City	State	Code	County	Country	UNINUM*	Number*	Head Office	ID_RSSD*	Comments
		Full Service													
ОК		(Head Office)	2877840	FIRST IC BANK	5593 BUFORD HIGHWAY	DORAVILLE	GA	30340	DEKALB	UNITED STATES	Not Required	Not Required	FIRST IC BANK	2877840	
OK		Full Service	3539314	DULUTH BRANCH	2260 PLEASANT HILL ROAD	DULUTH	GA	30096	GWINNETT	UNITED STATES	Not Required	Not Required	FIRST IC BANK	2877840	
OK		Full Service	3650947	JOHNS CREEK BRANCH	10820 ABBOTTS BRIDGE ROAD	JOHNS CREEK	GA	30097	FULTON	UNITED STATES	Not Required	Not Required	FIRST IC BANK	2877840	
OK		Full Service	5209581	NORCROSS BRANCH	6170 LIVE OAK PARKWAY	NORCROSS	GA	30093	GWINNETT	UNITED STATES	Not Required	Not Required	FIRST IC BANK	2877840	
OK		Full Service	3374793	SUGARLOAF BRANCH	1291 OLD PEACHTREE ROAD, #300	SUWANEE	GA	30024	GWINNETT	UNITED STATES	Not Required	Not Required	FIRST IC BANK	2877840	
OK		Full Service	5209611	SUWANEE BRANCH	1291 OLD PEACHTREE ROAD, #500	SUWANEE	GA	30024	GWINNETT	UNITED STATES	Not Required	Not Required	FIRST IC BANK	2877840	
OK		Full Service	5209572	CARROLLTON BRANCH	2509 OLD DENTON ROAD	CARROLLTON	TX	75006	DALLAS	UNITED STATES	Not Required	Not Required	FIRST IC BANK	2877840	

## Form FR Y-6

# First IC Corpoation Doraville, Georiga Fiscal Year Ending 12/31/2018

## Report Item 3: Securities Holders

		ship, control or holdings of cal year ending 12/31/2018	Securities Holders not listed in 3(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12/31/2018(but not at fiscal year-end)					
(1)(a)  Name & Address (City, State, Country)	(1)(b) Country of Citizenship or Incorporation	_	(2)(a)  Name & Address (City, State, Country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities			
Chong W Chun and Kyong H Chun, McDonough, GA, USA	USA	676,373 - 9.28% Common Stock 41,807 - 0.57% Options on Common Stock 79,013 - 1.08% Family Owned Common Stock	Seung Woo Choi Huntsville, AL, USA	USA	357,929 - 5.44% Common Stock 162,537 - 2.47% Family Owned Common Stock			
Choong S Cho and Boksoo Kim Cho, Atlanta, GA, USA	USA	437,018 - 6.00% Common Stock	21					
Myong Tul Chu, Brookhaven, GA, USA	USA	409,429 - 5.62% Common Stock 52,098 - 0.71% Family Owned Common Stock						

## Form FR Y-6

# First IC Corporation Doraville, Georgia Fiscal Year Ending 12/31/2018

## Report Item 4: Insiders

(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c)	
Name & Address (City, State, Country)	Principal Occupation, if other than with holding company	Title/Position with holding company	Title/Position with Subsidiaries (including subsidiary name)	Title/Position with Other Businesses (including business name)	Percentage of Voting Securities in holding company	Percentage of Voting Securities in Subsidiaries (including subsidiary name)	Percentage of Voting Securities in any other co. (including co. name) if ≥ 25%	
Chong W Chun McDonough, GA USA	N/A	Director Chairman	Director Chairman (First IC Bank)	N/A	9.28%	N/A	N/A	
Tae Hyun Liu Stockbridge,GA USA	Business Owner	Director	Director (First IC Bank)	Owner Lee's Golden Buddha Johnsboro Inc, Lee's Golden Buddah IX Inc	3.49%	N/A	100% Owner of Lee's Golden Buddha Johnsboro Inc, Lee's Golden Buddah IX Inc	
Do Hyun Kim Stone Mountain, GA USA	N/A	Director	Director (First IC Bank)	N/A	3.41%	N/A	N/A	
Eui Suk Lee Duluth, GA USA	N/A	Director	Director (First IC Bank)	N/A	2.58%	N/A	N/A	
Suk Hyun Kim Stone Mountain, GA USA	Business Owner	Director	Director (First IC Bank)	Co-Owner Net K, INC	1.84%	N/A	40% Owner of Net K Inc	
Lucio Minn Marietta, GA USA	N/A	Director	Director (First IC Bank)	N/A	0.03%	N/A	N/A	
Dong Wook Kim Duluth,GA USA	N/A	Director President/CEO	Director President/CEO (First IC Bank)	N/A	0.52%	N/A	N/A	
Edward Briscoe Lawrenceville, GA USA	N/A	EVP/CFO	EVP/CFO (First IC Bank)	N/A	N/A	N/A	N/A	