

**Board of Governors of the Federal Reserve System**



**Annual Report of Holding Companies—FR Y-6**

**Report at the close of business as of the end of fiscal year**

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

**I. Tim Compton**

Name of the Holding Company Director and Official

Chairman/President

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*

*Tim Compton*  
 Signature of Holding Company Director and Official

03/21/22

Date of Signature

**For holding companies not registered with the SEC—**  
 Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

**For Federal Reserve Bank Use Only**

RSSD ID \_\_\_\_\_  
 C.I. \_\_\_\_\_

**Date of Report** (top-tier holding company's fiscal year-end):

December 31, 2021

Month / Day / Year

03/N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Traditions Bancshares, Inc.

Legal Title of Holding Company

109 2nd Avenue NW

(Mailing Address of the Holding Company) Street / P.O. Box

Cullman Alabama  35055  
 City State Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Kela LePre' EVP/CFO  
 Name Title

256-735-2153

Area Code / Phone Number / Extension

256-734-2273

Area Code / FAX Number

klepre@traditionals.com

E-mail Address

www.traditionals.com

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission?  0=No  1=Yes

In accordance with the General Instructions for this report (check only one),

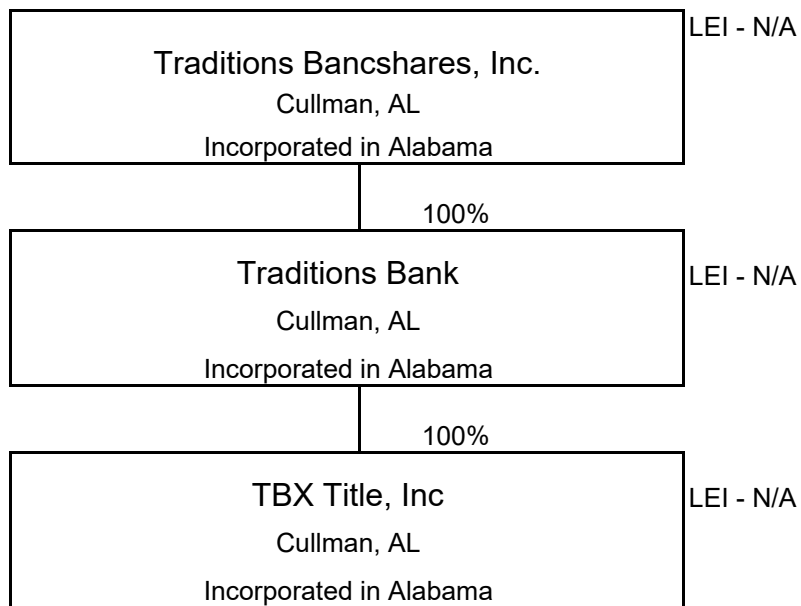
- 1. a letter justifying this request is being provided along with the report
- 2. a letter justifying this request has been provided separately ...

NOTE: Information for which confidential treatment is being requested must be provided separately and labeled as "confidential."

**Form FRY-6**  
**Traditions Bancshares, Inc.**  
**Cullman, AL**  
Fiscal Year End 12/31/2021

**Report Item**

- 1 Financial Statement - attached
- 2 Organization Chart



**Report Item 2b: Branch List**

Submitted via email on

**Results:** A list of branches for your depository institution: **TRADITIONS BANK (ID\_RSSD: 3194692)**.  
 This depository institution is held by **TRADITIONS BANCSHARES, INC. (3194683)** of **CULLMAN, AL**.  
 The data are as of **12/31/2021**. Data reflects information that was received and processed through **03/21/2022**.

**Reconciliation and Verification Steps**

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the **Effective Date** column.

**Actions**

- OK:** If the branch information is correct, enter 'OK' in the **Data Action** column.
- Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.
- Close:** If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.
- Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.
- Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:

To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.  
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	3194692	TRADITIONS BANK	109 2ND AVENUE NORTHWEST	CULLMAN	AL	35055	CULLMAN	UNITED STATES	365489	0	TRADITIONS BANK	3194692	
OK		Full Service	3386077	ARLEY BRANCH	19 HELICON ROAD	ARLEY	AL	35541	WINSTON	UNITED STATES	445238	2	TRADITIONS BANK	3194692	
OK		Full Service	4209968	PRICEVILLE BRANCH	380 HIGHWAY 67 SOUTH	DECATUR	AL	35603	MORGAN	UNITED STATES	513838	5	TRADITIONS BANK	3194692	
OK		Full Service	3924442	DODGE CITY BRANCH	639 ALABAMA HIGHWAY 69 SOUTH	HANCEVILLE	AL	35077	CULLMAN	UNITED STATES	489858	4	TRADITIONS BANK	3194692	
OK		Full Service	3365885	HOLLY POND BRANCH	40 HOLLY POND-BLOUNTSVILLE ROAD	HOLLY POND	AL	35083	CULLMAN	UNITED STATES	441828	1	TRADITIONS BANK	3194692	
OK		Full Service	3570504	WHITE OAK TRAIL BRANCH	121 WHITE OAK TRAIL	WARRIOR	AL	35180	BLOUNT	UNITED STATES	458274	3	TRADITIONS BANK	3194692	

**Form FRY-6**  
**Traditions Bancshares, Inc.**  
**Cullman, AL**  
Fiscal Year End 12/31/2021

**Report Item 3: Securities holders**

Current Shareholders with ownership, control or holdings of 5% or more with power to vote as of 12-31-21

(1)(a) Name & Address	(1)(b) Country of Citizenship	(1)c # and % of each class of voting securities
Tim Compton Hanceville, AL	US	46,127 - 3.56 % Common Stock 14,050 - 1.08% Options on Common Stock 148,780 - 11.48% ESOP Trustee - Common Stock 208,957 - 16.12%

**Report Item 3 (2):**

Shareholders not listed above that owned 5% during the fiscal year

**None**

**Form FRY-6**  
**Traditions Bancshares, Inc.**  
**Cullman, AL**  
Fiscal Year End 12/31/2021

**Report Item 4: Insiders**

(1)	(2)	(3a)	(3b)	(3c)	(4a)	(4b)	(4c)
NAME & ADDRESS	OCCUPATION OTHER THAN HOLDING CO	TITLE & POSITION HOLDING CO	TITLE & POSITION SUBSIDIARY	TITLE & POSITION OTHER BUSINESSES	% VOTING SHARES IN BHC	% VOTING SUBS	OTHER COMPANIES
<b>Melody Basch</b> Cullman, AL US	Pet grooming/retail sales	Director Traditions Bancshares, Inc.	Director Traditions Bank	Owner - Basch Brothers, LLC Owner - Basch Rentals, LLC (Basch & Basch Rentals)	1.75%	0.00%	50.00%
<b>Gary Campbell</b> Bremen, AL US	Farming	Director Traditions Bancshares, Inc.	Director Traditions Bank	Self Employed	1.56%	0.00%	0.00%
<b>Tim Compton</b> Hanceville, AL US	Other	Chairman / President / Director Traditions Bancshares, Inc.	President / CEO / Director Traditions Bank	Managing Partner - Partners Development, LLC	16.12%	0.00%	40.00%
<b>Rick Fulmer</b> Cullman, AL US		Director Traditions Bancshares, Inc.	Director Traditions Bank		0.46%	0.00%	
<b>Faith Hammock</b> Hanceville, AL US	Mortician Farming & Cattle	Director Traditions Bancshares, Inc.	Director Traditions Bank	Partner - Bement-Hammock Enterprises, Inc. Member - Hanceville Nursing & Rehab Ctr, Inc. Partner - Faith Family Farm Partnership, LTD Partner - Hammock Family Assets, LLC Owner - Faith Hammock Irrevocable Trust Owner - Moody Family Interest, LLC	2.00%	0.00%	60.00% 20.00% 99.00% 25.00% 100.00% 60.00%
<b>Kela LePre</b> Vinemont, AL US	Other	Director Traditions Bancshares, Inc.	Director Traditions Bank		0.97%	0.00%	0.00%
<b>Wayne Robertson</b> Hanceville, AL US	Other	Director Traditions Bancshares, Inc.	Director Traditions Bank	Self Employed	2.15%	0.00%	0.00%
<b>Scotty Segroves</b> Cullman, AL US	Finance	Director Traditions Bancshares, Inc.	Director Traditions Bank	Stockholder - Segroves & Co. P.C. Member - Cullman Leasing LLC Member - TSC Development, LLC Member - TSC Equipment, LLC Member - 3550, LLC	0.23%	0.00%	100.00% 50.00% 33.33% 33.33% 50.00%
<b>Jacquelin Spike</b> Guntersville, AL US	Education	Director Traditions Bancshares, Inc.	Director Traditions Bank	Special Education Coordinator Marshall County Board of Ed.	1.45%	0.00%	0.00%
<b>Jimmy Walker</b> Cullman, AL US	Real Estate / Appraiser	Director Traditions Bancshares, Inc.	Director Traditions Bank	Owner - Walker Appraisal Services Owner - J&J Renovation	1.21%	0.00%	100.00% 100.00%
<b>Wayne Widner</b> Hanceville, AL US	Farming Excavation	Director Traditions Bancshares, Inc.	Director Traditions Bank	Self Employed	1.73%	0.00%	0.00%
<b>Billy Williams</b> Arley, AL US	Building Supplies Real estate development	Secretary / Director Traditions Bancshares, Inc.	Director Traditions Bank	Owner/President - B. Williams Supply Inc Partner - Shoreline Properties LLC Owner - Billy Williams Real Estate, Inc. Member - Partners Development, LLC	3.17%	0.00%	100.00% 50.00% 100.00% 20.00%
				<b>Total</b>	<b>32.80%</b>		